

Audit, Governance and Standards Committee

MINUTES of the OPEN section of the Audit, Governance and Standards Committee held on Wednesday 6 June 2018 at 7.00 pm at Ground Floor Meeting Room G02A - 160 Tooley Street, London SE1 2QH

PRESENT: Councillor Gavin Edwards (Chair)
Councillor Victor Chamberlain
Councillor Dora Dixon-Fyle MBE
Councillor Nick Dolezal
Councillor Victoria Olisa
Councillor Andy Simmons

OFFICER SUPPORT: Jennifer Seeley, Director of Finance
Jo Anson, Head of Financial and Information Governance
Rob Woollatt,
Paul Dossett, Grant Thornton
Matt Dean, Grant Thornton
Jon Abbott,
Dan Taylor,
Norman Coombe,
Virginia Wynn-Jones,

1. APOLOGIES FOR ABSENCE

Apologies were received from Councillor Dan Whitehead, and from Bill Dee and Charles Wynn-Evans, the independent members.

2. CONFIRMATION OF VOTING MEMBERS

The members present were confirmed as the voting members.

3. NOTIFICATION OF ANY ITEMS OF BUSINESS WHICH THE CHAIR DEEMS URGENT

The chair agreed to accept item 12: Draft statement of accounts 2017-18 as late and urgent.

4. DISCLOSURE OF INTERESTS AND DISPENSATIONS

There were none.

5. MINUTES

The minutes of the meeting of 19 February 2018 were agreed as a correct record and signed by the chair.

6. REPORT ON RETROSPECTIVE CONTRACT-RELATED DECISION: KEPPEL ROW ENVIRONMENTAL IMPROVEMENTS

Officers introduced the report. Members had questions for the officers.

RESOLVED:

1. That the retrospective contract decision detailed in the report be noted.
2. That the actions taken by the programme manager as set out in paragraph 11 to ensure that the risk of future retrospective contract decisions is minimised for the future be noted.
3. That the audit, governance and standards committee made recommendations to help improve future decision-making.

7. AUDIT PLANS (INCLUDING PENSION FUND) AND AUDIT RISK ASSESSMENT

The internal auditors verbally updated the meeting. Members had questions for the internal auditors.

8. OPERATIONAL USE OF THE REGULATION OF INVESTIGATORY POWERS ACT 2000

Officers introduced the report. Members had questions for the officers.

Officers undertook to circulate to the committee information on test purchases made.

RESOLVED:

1. That the information relating to the use of RIPA for the period shown be noted.

9. REVIEW OF MEMBER OFFICER PROTOCOL AND COMMUNICATIONS PROTOCOL

Officers verbally updated the meeting. Members had questions for the officers.

Officers noted the committee's recommendation that the member officer protocol refer to officer interactions with ward members as separate to committee chairs and cabinet member roles.

10. PROGRESS REPORT ON THE WORK OF INTERNAL AUDIT

Officers introduced the report. Members had questions for the officers.

Officers undertook to brief members separately on the IT network audit issues raised.

RESOLVED:

1. That the update on internal audit work attached as Appendix A to the report be noted.

11. DRAFT ANNUAL GOVERNANCE STATEMENT 2017-18

Officers introduced the report. Members had questions for the officers.

RESOLVED:

1. That the draft annual governance statement 2017-18 as attached at appendix 1 of the report be noted.

12. DRAFT STATEMENT OF ACCOUNTS 2017-18

Officers introduced the report. Members had questions for the officers.

RESOLVED:

1. That the draft statement of accounts for 2017-18 at appendix 1 of the report be reviewed.

13. IN YEAR REVIEW OF WORK PROGRAMME 2018-19: JUNE 2018

Officers introduced the report. Members had questions for the officers.

Further suggestions for future governance topics included:

- Equality impact assessment, including use of sub-contractors
- IT (after November 2018, when the audit recommendations should have been implemented)

RESOLVED:

1. That the proposed revised work programme for 2018-19 be considered, and that the governance topic of "The council's procurement policy and how it is implemented" be included in the agenda for the next meeting.
2. That the work programme for 2018-19 set out in appendix 1 of the report be agreed.

Meeting ended at 8.25 pm

CHAIR:

DATED: